EXHIBIT 40

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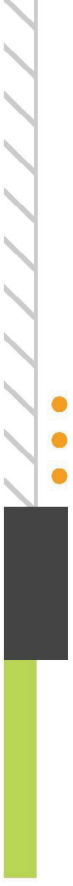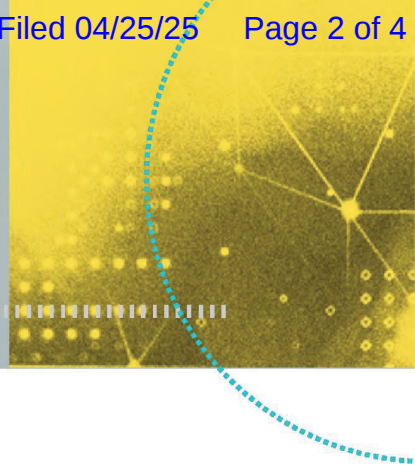## Q4 2020 Quarterly Risk Review (QRR)

DO + IT | LEGAL | FINANCE

October 27, 2020

SolarWinds Scorecard

NIST Maturity Level

Steady progress in 1H. On track for overall improvement in 2020

Security Category	2017	2018	2019	2020 Target	Key Risks	2H 2020 Improvement Plan
Identify	0.8	2.0	3.0	↑3.3	• Security processes not consistently implemented	• Increase SDL awareness and adoption • Advance product certifications
Protect	1.5	3.0	3.2	↑3.3	• Significant deficiencies in user access management	• Continue to enable AD Authentication for critical systems
Detect	1.0	2.8	3.4	↑3.6	• Inconsistent security scanning	• Expand and standardize VAT, Pen, Open Source, and Code Analysis
Respond	0.8	2.8	3.6	↑3.8	• Increase in events and complexity	• Continuous improvements in Security	Major Incident Response
Recover	0.7	2.0	2.0	↑2.3	• Immature business continuity plan	• Operational Continuity Plan assessment and improvements
Overall	1.0	2.5	3.0	↑3.3		

Maturity	Description
0	No evidence
1	Reactive approach
2	Consistent approach. Somewhat reactive and undocumented
3	Documented, detailed approach. Regularly measure its compliance
4	Established risk management framework
5	Refined practice, focused on improvements and efficiencies

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2020 Penetration Testing Program

Pen Testing Program Goals
- Identify & Reduce Low Complexity Easily Found (LCEF) vulnerabilities
- Meet product certification requirements (SOC2 & ISO27001) and
- Improve overall security of SolarWinds products

Roles and Responsibilities
- Security coordinates external pen testing and runs Burpsuite scans for any product / application not covered by DevOps
- DevOps to assist with external pen testing process and performs Nessus scans for MSP products.

External (3rd Party) Pen Testing Program

	Q1	Q2	Q3	Q4
ITOM-SaaS		✓ AppOptics ✓ Loggly	• Pingdom ✓ ITSM ✓ DPM	• Papertrail
MSP			• RMM • N-Central • Take Control	• Passportal • Backup • Mail Assure

Internal Pen Testing Program (Burpsuite + Nessus)

	Q1	Q2	Q3	Q4
ITOM-SaaS		✓ Pingdom ✓ Papertrail		• AppOptics • Loggly
ITOM-OnPrem		✓ Serv-U	✓ Serv-U (App Test) ✓ Orion ✓ WHD	• SEM
MSP		✓ Take Control	✓ N-central	• RMM • Backup ✓ Passportal
Web Properties	✓ Solarwinds.com	✓ Solarwindsmsp.com ✓ Dameware.com ✓ Webhelpdesk.com		• AppOptics (Marketing) • Customer Portal

As of 10/26/2020